

Revisiting aromatic thiols effects on radical photopolymerization

Vadim V. Krongauz^{a,*}, Chander P. Chawla^b

^aDepartment of Chemistry, University of Illinois at Chicago, 845 West Taylor Street, Chicago, IL, 60607, USA

^bDSM Desotech Inc., Department of New Business Development, Elgin, IL, 60120, USA

Received 13 January 2003; accepted 23 January 2003

Abstract

Influence of thiols on kinetics of acrylate radical photopolymerization in the presence and absence of photoinitiators was studied. The kinetics was monitored by infrared absorption and photo-differential scanning calorimetry. It was found that aromatic thiols at concentrations, <0.5% (~0.05 M), can accelerate radical photopolymerization. Initiation of radical photopolymerization by some aromatic thiols in the absence of conventional photoinitiators was observed. As well as expected inhibition of photopolymerization at higher concentration of aromatic thiols due to chain transfer was detected. A ground state charge-transfer complex formation between thiols and benzoin based photoinitiators was detected.

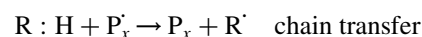
Mechanism of thiols participation in photopolymerization involving reduction of oxygen inhibition and dissociation of charge-transfer complexes is discussed.

Published by Elsevier Science Ltd.

Keywords: Radical photopolymerization; Aromatic thiols; Photoinitiation

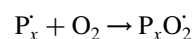
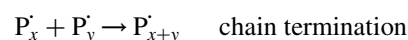
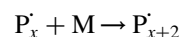
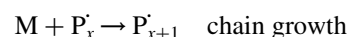
1. Introduction

The term ‘chain transfer’ in radical polymerization refers to termination of one growing chain and initiation of another [1,2]



...

where $P_x \cdot$ is a growing polymer chain containing x monomeric units, M is monomer molecule and $R:H$ is a hydrogen donating chain transfer agent. The chain transfer, obviously, leads to shorter chains and competes with the reactions of chain growth and termination



...

When chain growth and transfer reactions have similar rate constants, the presence of chain-transfer agent does not affect overall rate of monomer conversion and polymerization. ‘Under appropriate conditions, almost any molecule may act as a chain-transfer agent, including initiator, monomer, solvent, and dead polymer’ [1,2]. Amines, alcohols and thiols are most efficient in chain transfer, because of easy cleavage of secondary alkyl hydrogen (in case of amine and alcohol) and cleavage of sulfhydryl hydrogen in thiol [3–5].

Thiols do not initiate radical polymerization during storage of the photopolymerizable composition. Oxygen inhibition of radical reactions involving thiols is lower than that of radical reactions without thiols. Thus, thio-compounds are chain-transfer agents of choice in many photopolymerizable coatings and adhesives [6]. Photore-sists and photopolymers for printing and publishing often are based on thiol-olefin compositions, best known are thiol-ene systems [7].

Practical importance of thiols-containing compositions prompted numerous investigations of thiol influence on photopolymerization kinetics [8–18]. Thiol-containing compounds were shown to co-initiate and initiate radical polymerization in solutions [12,13,17]. The results of Yan and co-workers [12], Kuramote and co-workers were complimentary to those reported by DuPont scientists,

* Corresponding author.

E-mail address: vakron@aol.com (V.V. Krongauz).

who used aromatic thiols, 2-benzoxazolethiol and 2-benzothiazolethiol, as hydrogen transfer co-initiators in printing and proofing material and photoresists [19–21].

UV-curable surface coatings and adhesives are solvent-free. These compositions consist of initiators, oligomer and additives dissolved in a monomer or a mixture of monomers. Upon exposure to UV-light these compositions form almost completely crosslinked polymer networks. Polymerization of thiols-containing compositions was studied in solvent-free UV-curable adhesives [8,15]. Below we describe an extension of our earlier investigations [15] of the effects of aromatic thiols on kinetics of photopolymerization in solvent-free compositions.

2. Experimental

Solutions of initiator, aromatic thiol and, in some cases, di-functional urethane acrylate oligomer in monomers were used. Hexanediol diacrylate (HDDA) and isobornyl acrylate (IBOA) (Sartomer) were monomers (reactive diluents). 1-Hydroxycyclohexyl phenyl ketone and 2,2-dimethoxy-2-phenylacetophenone (Irgacure[®] 184 and Irgacure[®] 651 from Ciba) were used to photoinitiate photopolymerization, at concentrations of 0%, 1% or 3% by weight. Aromatic thiols, 2-benzoxazolethiol (BOT) and 2-benzothiazolethiol (BTT) (Aldrich) were used at concentration ranging from 0.01 to 5%. Urethane diacrylate oligomer (CN963B80, Sartomer) was used for viscosity modification and to form mechanically stable cured polymer films, ~70 μm thick.

Fourier transform infrared absorption (FTIR) monitoring of photopolymerization kinetics was conducted at acrylate double bond absorption maximum at 810 cm^{-1} using FTIR spectrophotometer (Nicolet). Liquid resin was deposited between two KF crystal windows in thin layer. The crystal 'sandwich' was placed in the optical path of analyzing light in FTIR spectrometer and exposed to UV light (intensity ~22 mJ/cm^2) for various time intervals, while the IR absorption was recorded.

The mechanical properties of the cured films were tested using a conventional instrumentation (Instron Corp.). Differential scanning calorimetry (DSC) was conducted in nitrogen flow [15].

3. Results and discussion

Previously reported initiation and co-initiation by thiols were relatively inefficient [18–20]. Therefore, to detect a change in photoinitiation rate caused by an addition of aromatic thiols, photoinitiation had to be inefficient in the absence of thiol. At >3% of benzoin-type photoinitiator, polymerization photoinitiation in inert atmosphere is close to the limit of efficiency [22,23]. At concentration of 1% of benzoin-type initiator, conversion of acrylate double bond is slower. The effect of thiol was expected to be more

noticeable in such composition. Indeed it was observed that addition of 0.1% of BOT accelerated photo-conversion of HDDA and IBOA acrylate double bond in the presence of 1% of Irgacure[®] 184 (Fig. 1).

An increase in reaction rate observed in the presence of BOT was reproduced in the presence of BTT as well. In the presence of alternative photoinitiator, Irgacure[®] 651 instead of Irgacure[®] 184, acrylate photo-induced disappearance was monitored at the same concentration of BOT and BTT. The results confirmed that thio-group effects are not associated with particular initiator used.

The rate of polymerization was evaluated by the degree of acrylate double bond conversion after certain period of UV-illumination. The acrylate group conversion was recorded in HDDA and IBOA systems as a function of BOT or BTT concentration (Fig. 2). Acceleration of acrylate double bond conversion was observed at low concentration of aromatic thiols, <0.5%. It is common to observe decrease in the rate of photo-induced conversion of the acrylate at higher concentration of chain-transfer agents, as was the case in the present work as well (Fig. 2).

An unexpected acceleration of acrylate photo-conversion in the presence of small amount of mercaptan was confirmed by photo-DSC measurements. Photo-DSC confirmed that there was a definite maximum in the dependence

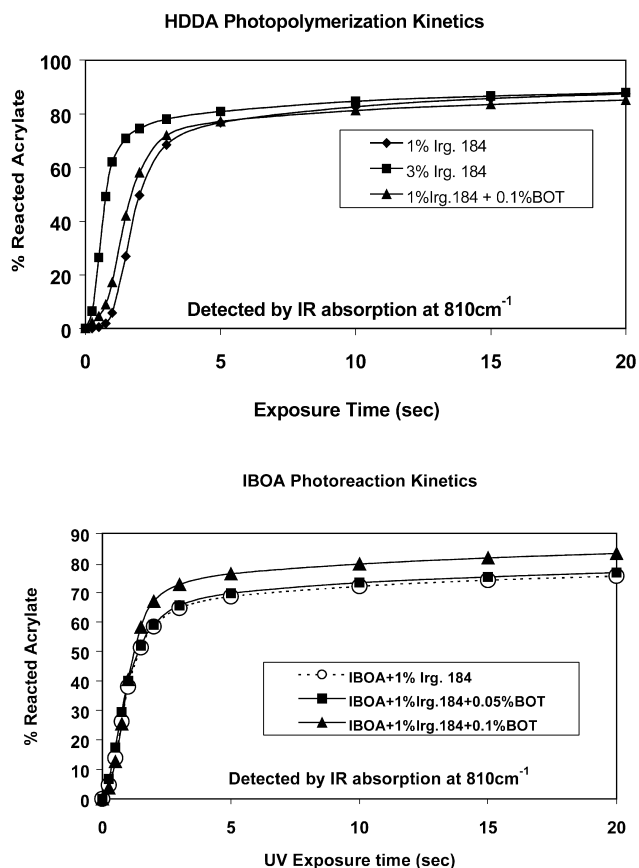


Fig. 1. Kinetics of photo-conversion of acrylate double bond in HDDA and IBOA solutions of Irgacure[®] 184 in the presence of 2-benzoxazolethiol.

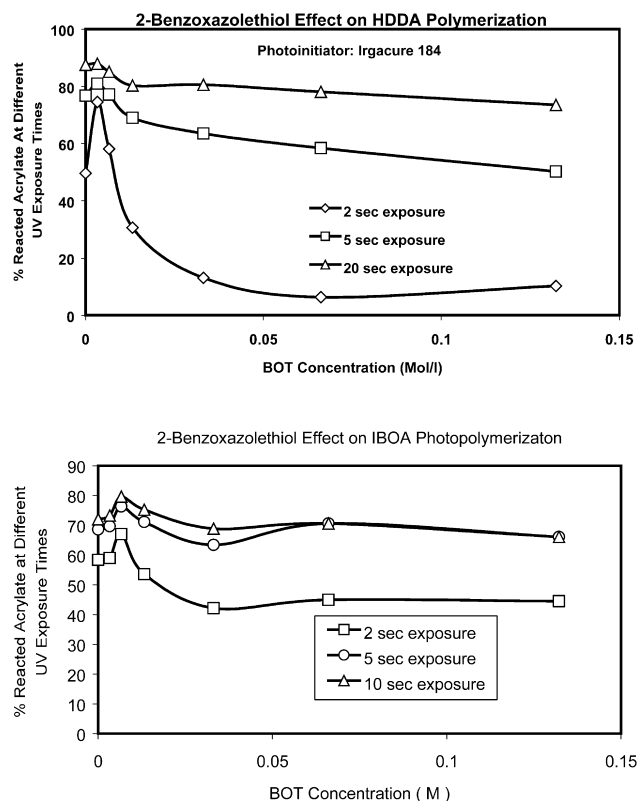


Fig. 2. Dependence of acrylate double bond photo-induced conversion in the presence of 1% of Irgacure[®] 184 on concentration of 2-benzoxazolethiol.

of photo-conversion enthalpy of solution of 1% Irgacure[®] 184 in HDDA on the concentration of added aromatic thiol. We detected that at 0.2–0.5% of BOT or BTT the heat emitted during photo-induced reactions of HDDA or IBOA was higher than that in the absence of thiols (Fig. 3).

Increased rate of acrylate group photo-conversion detected by FTIR or by photo-DSC does not necessarily signify an increased polymer yield or increased rate of photopolymerization. Indeed, acrylic monomer radicals may photo-react with thiol without yielding high molecular

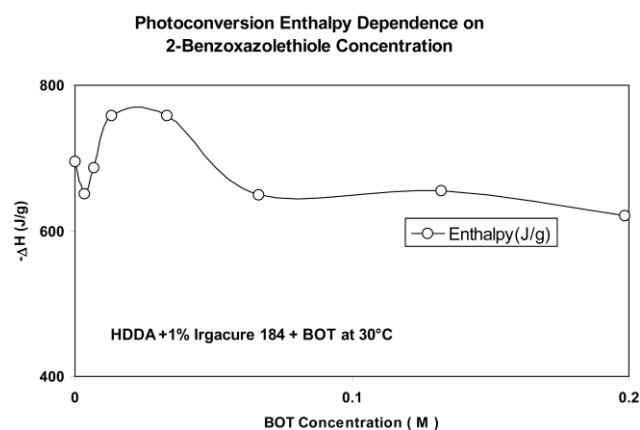


Fig. 3. Photo-DSC detected increase in acrylate photo-conversion rate at certain concentration of aromatic thiols [15].

weight molecules. Mechanical properties of the cured acrylate composition and the glass transition temperature are better indicators of whether molecules of high molecular weight were formed. Polymerization of monomers, HDDA and IBOA, did not result in good enough films to allow differential measurements of the mechanical properties. The compositions containing urethane diacrylate oligomer (CN963B80), and Irgacure[®] 184 dissolved in HDDA and various concentrations of BOT were tested during and after photo-exposure. Again, as in the case of photoreactions of pure monomers, an increase in acrylate conversion yield and rate was observed at BOT concentration $\approx 0.1\%$ (Fig. 4).

Since the film formed by photo-curing of compositions containing oligomer were mechanically stable, the tensile properties of these films were measured. It was found that tensile strength, modulus and, to a lesser extent, elongation of the cured polymer films exhibited a local maximum at $\approx 0.1\%$ of BOT (Fig. 5). BTT addition effects were similar.

Decrease in cured films tensile strength, modulus, and increase in elongation at break indicated that molecular weight and degree of crosslinking of polymer is lower than that in the absence of chain-transfer agent. This is well known and widely utilized effect of all chain-transfer agents and thiols, in particular. At thiol concentration $\geq 5\%$, photo-curing of the compositions similar to ones described here did not result in films dry to the touch at room temperature [24].

It was expected that addition of chain-transfer agent to photopolymerizable composition would decrease mechanical strength and increase elongation of the formed polymer films. This follows from the reaction scheme presented above. However, strength and elongation increase when $\leq 0.2\%$ of BOT was added, was surprising.

It was also observed that glass transition temperature of the photocured polymer films containing 0.1–0.2% BOT

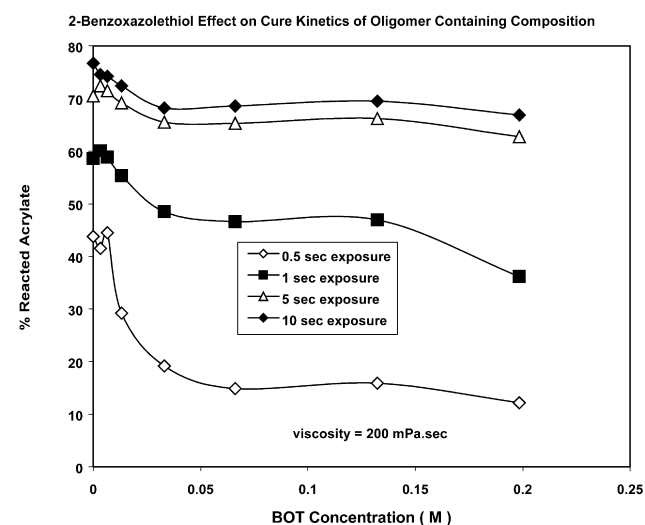


Fig. 4. Dependence of acrylate double bond photo-conversion kinetics on concentration of aromatic thiol in higher viscosity formulations.

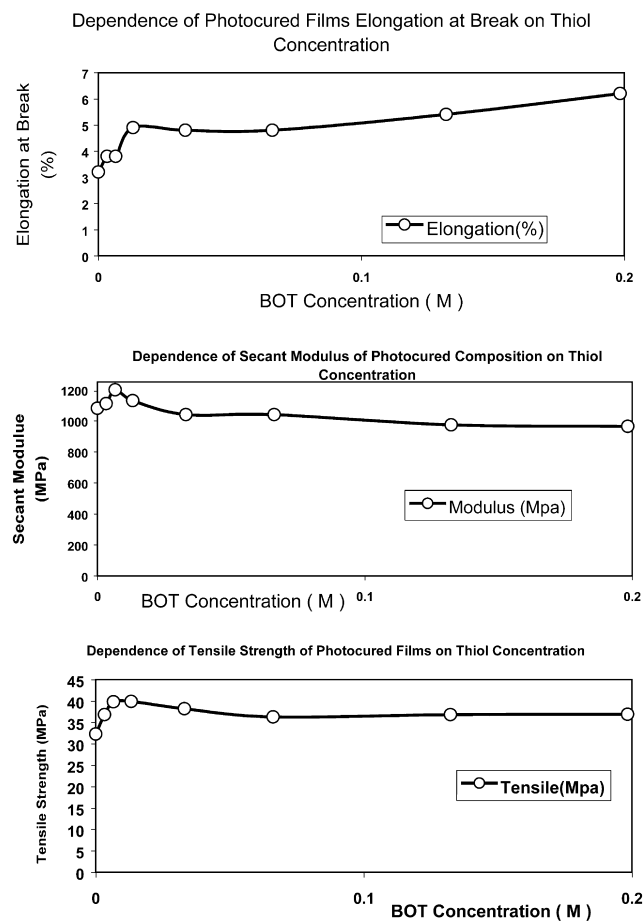


Fig. 5. Dependence of mechanical properties of photo-cured compositions (oligomer, HDDA, Irgacure[®] 184) on BOT concentration.

was higher than that of the films with lower or higher thiol concentration (Fig. 6). This also indicated higher degree of crosslinking at 0.1% of BOT.

What is a potential mechanism of an increase in the yield

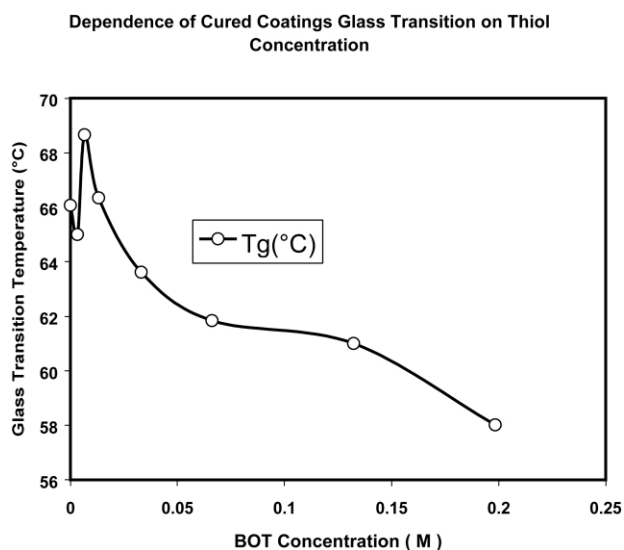
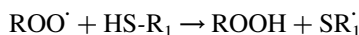


Fig. 6. Dependence of photo-cured films glass transition temperature on concentration of aromatic thiol, BOT.

and rate of radical photopolymerization in the presence of thiol?

Oxygen dissolved in the resin forms peroxide radicals that are not capable of continuing chain process. Khoros demonstrated in 1951 [25], that in solutions peroxy-radicals react with mercaptans forming a stable peroxide and thio-radical capable of initiating acrylate polymerization:



Thus, it is possible that up to a certain concentration of thiols in photopolymerizable composition, the dominant effect is oxygen binding. When thiol concentration is higher than that which is necessary to 'rejuvenate' chains terminated by oxygen and negate the effects of oxygen dissolved in the photopolymerizing mixture, the chain-transfer and terminating effects of thiols become dominant. Therefore, at low concentration of thiols an increase of cure rate and polymer yield is observed, while at higher concentration of thiols we detected expected decrease in the rate and yield. Since earlier studies were conducted at thiols concentration exceeding 0.5%, the acceleration of photopolymerization was not detected previously in the photopolymerizing resins. Other factors may also contribute to photopolymerization rate increase at low thiols concentration.

It was observed in the past that thiols form charge-transfer complexes with various aromatic compounds [26]. It is also known that radical polymerization can be initiated by decomposition of charge transfer complexes [27,28]. In the past, it was postulated that aromatic thiols act as co-initiators for hexaarylbiimidazole through postulated charge-transfer mechanism [21]. No experimental confirmation was presented. We investigated possibility of charge transfer complexation between BOT, or BTT and photoinitiator.

Addition of absorption spectra of pure Irgacure[®] 184 and 2-benzoxazolethiol did not yield the red-shifted line observed in the spectrum of their mixture. Red-shifted absorption spectra are characteristic for charge-transfer complex formation [29]. We concluded that 2-benzoxazolethiol and Irgacure[®] 184 form a ground state charge transfer complex. Thus, it is conceivable, that photolysis of Irgacure[®] 184-thiol complex contributes to an increase in initiation efficiency. Such conclusion is consistent with the earlier data on 2-benzoxazolethiol effects (Fig. 7).

Aromatic thiols were used in the past for co-initiation with hexaarylbiimidazole initiator in holographic, printing and proofing photopolymers and in photoresists [19–21]. However, to the best of our knowledge, the co-initiation by thiols used in combination with benzoin-type photoinitiator such as Irgacure[®] 184 and Irgacure[®] 651, as described above, was not reported previously. Indeed, the effect was difficult to detect, since usually thiols were used at much higher concentrations.

Aromatic thiols, such as 2-benzoxazolethiol and 2-benzthiazolethiol, strongly absorb light in ultraviolet part

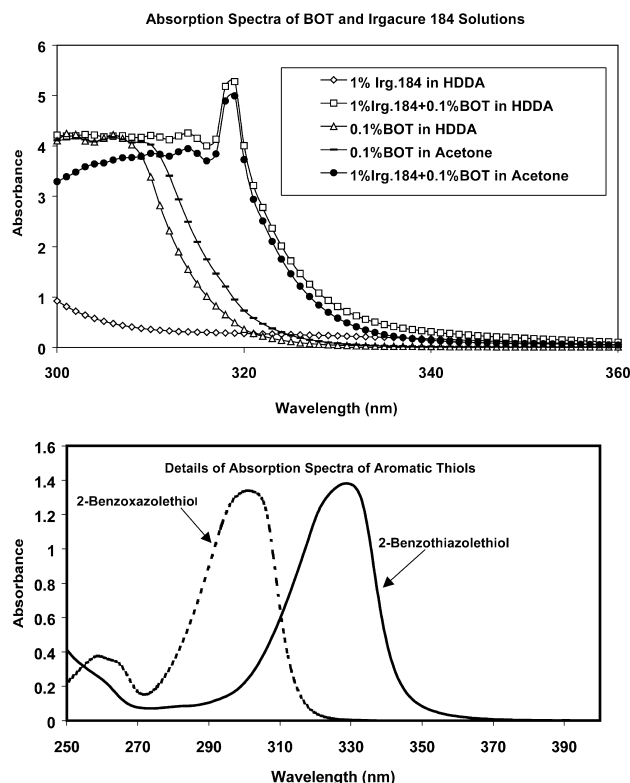


Fig. 7. Absorption spectra indicating formation of charge-transfer complex between benzoin-based initiator and 2-benzoxazolethiol.

of the spectrum at wavelengths ≤ 350 nm. Together with the ability of thio-group to dissociate producing H^+ and $RS^{\cdot-}$ ion-radicals or H^{\cdot} and RS^{\cdot} , this indicated that thiol molecules may be capable of initiating photopolymerization. Indeed, we observed that in the presence of BOT or BTT, the HDDA and IBOA photopolymerize without additional initiators (Fig. 8). 2-Benzothiazolethiol was a substantially more efficient photoinitiator than 2-benzoxazolethiol. To determine why BTT is more efficient as photoinitiation, the mechanism of photoinitiation by aromatic thiols must be studied further. It is remarkable that photoinitiation is inefficient at concentration $>1\%$ for BOT and $>3\%$ for BTT (Fig. 8).

Mechanism of observed photopolymerization rate increase in the presence of aromatic thiols can be better understood by monitoring radical processes directly by electron spin resonance or other direct methods. The presented data present the evidence of the effect and point the direction for new photocurable resins development.

4. Conclusion

It was found that aromatic thiols, 2-benzoxazolethiol and 2-benzothiazolethiol, can be used as photopolymerization co-initiators and as inefficient initiator. Indication of possible mechanism of thiol influence on photopolymerisation

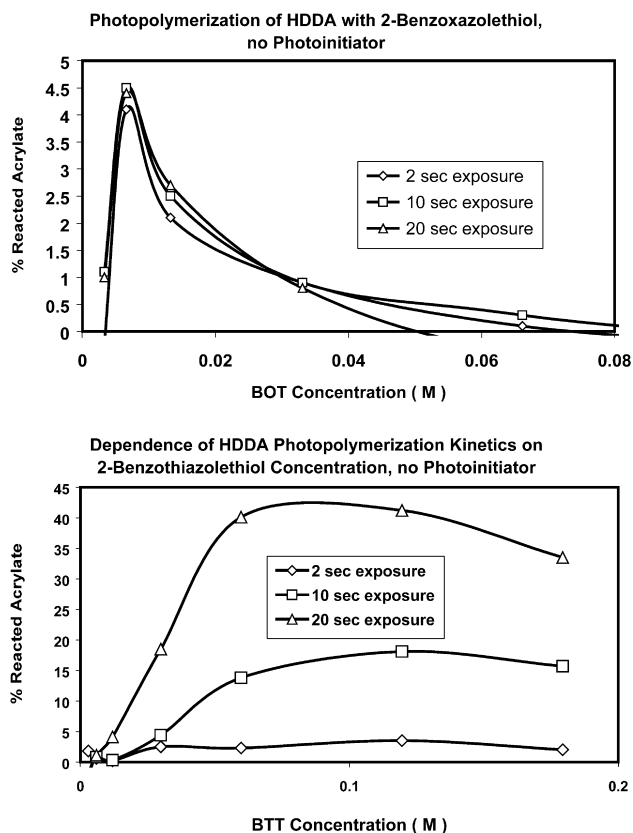


Fig. 8. Initiation of acrylate photo-conversion by aromatic thiols, BTT and BOT, no 'conventional' photoinitiator was present.

kinetics were found, such as formation of charge transfer complexes, the results are inconclusive. Direct detection of radical or ion-radical species during photoexcitation of polymerizable composition is required for confirmation of charge-transfer and oxygen binding mechanism of initiation and co-initiation by aromatic thiols. Electron spin resonance in conjunction with pulsed laser or electron beam excitation can be used to follow radical reaction kinetics and charge-transfer initiation [30]. In the absence of mechanistic studies, the observed effects can be used to increase photoinitiation efficiency, and modification of mechanical properties of cured films and coatings.

Acknowledgements

We would like to thank Dr Gerry Noren, for stimulating discussion of possible thiol effects on photopolymerization kinetics and Sangeeta Rampuria and Anne Nibordy for preparation of the samples. We would also like to express our gratitude to James Julian for FTIR monitoring of photopolymerization kinetics. We would like to thank Dr John T. Vandenberg for reviewing the manuscript. Support of this work by DSM Desotech Inc. is gratefully acknowledged.

References

- [1] Rosen SL. Fundamental principles of polymeric materials. New York: Wiley; 1982.
- [2] Bagdasar'yan KhS. Theory of Free-Radical Polymerization. Israel Program for Scientific Translation, Jerusalem; 1968.
- [3] Sinitsyna ZA, Bagdasar'yan KhB. Zh. Fis. Khim. 1958;32:2663.
- [4] Wall L, Brown D. J Polym Sci 1954;14:513.
- [5] (a) Bartlett P, Altschul R. J Am Chem Soc 1945;67:812. (b) Bartlett P, Altschul R. J Am Chem Soc 1945;67:816.
- [6] Barber RC, Bettaci RJ, Lundsager CP, Wood LL. US Patent 3,920, 877; 1975.
- [7] Kehr CL, Wszolek WR. WR Grace and Co., US Patent 3,661,744; 1972.
- [8] Woods JG. In: Pappas SP, editor. Radiation curing. Science and technology. New York: Plenum Press; 1992. p. 333. and references therein.
- [9] Huber HF. In: Fouassier JP, Rabek JF, editors. Radiation curing in polymer science and technology, vol. 4. London: Elsevier Applied Science; 1993. p. 51. and reference therein.
- [10] Tefera N, Weickert G, Westertep KR. J Appl Polym Sci 1997;63(12): 1663.
- [11] Hutchinson RA, Paquet DA, McMinn JH. Macromolecules 1995; 28(16):5655.
- [12] Xia P, Cheng H, Yan D. J Appl Polym Sci 1992;45(4):579.
- [13] Kuramoto N, Shibamura T, Iwaki T, Nagai K. Angew Makromol. Chem 1990;175:39.
- [14] Dvornic PR, Jacovic MS. Polym Engng Sci 1981;21(12):792.
- [15] (a) Krongauz VV, Chawla CP. RadTech Asia 2001, Conference Proceedings, May 15–9; 2001, p. 168–81. (b) Krongauz VV, Chawla CP. Proceedings of 222nd ACS National Meeting, Chicago, IL, August 26–30; 2001.
- [16] Gush DP, Ketley AD. Mo Paint Coat 1978;68(11):58.
- [17] Roy KK, Palit SR, Pramanick D. Die Makromol Chem 1976;177(1): 65.
- [18] Decker C, Viet TN. Macromol Chem Phys 1999;200(8):1965.
- [19] Cohen AB, Walker P. In: Sturge J, Walworth V, Shepp A, editors. Imaging processes and materials, Neblette's 8th ed. New York: Van Nostrand Reinhold; 1989. p. 226. and references therein.
- [20] Krongauz VV. In: Krongauz VV, Trifunac AD, editors. Processes in photoreactive polymers. New York: Chapman and Hall; 1995. p. 185. and references therein.
- [21] Eaton DF, Horgan AG, Horgan JP. J Photochem Photobiol A: Chem 1991;58:373.
- [22] Thommes GA, Webers VJ. J Imag Sci 1985;29(3):112.
- [23] Thommes GA, unpublished communications; 1992.
- [24] Krongauz VV, Schmid SR, Vandenberg JT. Prog Org Coat 1995;26: 145.
- [25] Kharasch MS, Nudenberg W, Mantell GJ. J Org Chem 1951;16:524.
- [26] D'Sousa VT, Nanjundiah R, Baeza J, Szmant HH. J Org Chem 1987; 52:1720.
- [27] (a) Ellinger LP. Polymer 1964;5(1):559. (b) Ellinger LP. Polymer 1965;6(1):549 (c) Williamson MA, Smith JDB, Castle PM, Kauffman RN. J Polym Sci, Pol Chem 1982;20:1875.
- [28] Cole MC, Bachemin M, Nguyen CK, Viswanathan K, Hoyle CE, Jonson S, Hall HK. RadTech 2000, International North America Conference Proceedings, April 9–12; 2000, p. 211.
- [29] Foster R. Organic charge-transfer complexes. London: Academic Press; 1969.
- [30] Yi LA, Liu AD, Trifunac VV, Krongauz. In: Krongauz VV, Trifunac AD, editors. Processes in photoreactive polymers. New York: Chapman and Hall; 1995. p. 170. and references therein.